

# Application of Spotted Hyena Optimizer in Cogeneration Power Plant on Single and Multiple-Objective

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**Abstract**— In this paper, a Spotted Hyena Optimizer (SHO) is employed in the context of addressing a constrained optimization problem to solve a cogeneration power plant (CGPP) problem through a classical approach. The paper examines the CGPP system through consideration of the 3E aspects (energy, exergy, and economy) through thermodynamic analysis. The equipment used in the system included a condenser, a turbo-generators, and a boiler. Two design optimization approaches were used: single objective optimization (SOO) and multi-objective optimization (MOO), with a comparison conducted using the SHO algorithm. On the basis of the findings, one optimal solution was returned by SOO-SHO from a single run, while a set of optimal solutions was discovered using MOO-SHO. The use of a SOO-SHO model requires the researcher to determine the preferred settings prior to starting the run, whereas the MOO-SHO does not require such decisions to be taken until after the run is complete. Typically, the SOO approach generates the optimal solution when considering a particular objective, while the MOO uses a broader array of information to reach richer solutions that consider the broader perspective.

**Keywords**— *Spotted Hyena Optimizer, Cogeneration power plant, Single objective optimization, Multi-objectives optimization, Meta-heuristics*

## I. INTRODUCTION

Numerous external factors affect the operational aspects of energy systems, and therefore the environmental and economic factors must be considered by system designers if they are to optimize the system in practice. The combined cycle power plants (CCPP) offer a high level of energy efficiency. They have drawn considerable attention due to this advantage and the low levels of pollution generated, especially in terms of greenhouse gases, and the flexibility offered by their operation. One frequently used approach for CCPP is the gas-steam combined cycle, involving a gas turbine cycle, known as the topping cycle, and a steam turbine cycle, known as the bottoming cycle. These cycles are then combined via the heat recovery steam generator [1].

Energy analysis is the most widely applied approach to assess energy conversion system performance, but today there is growing attention focused on the combined issues of exergy and exergy destruction. It has been shown that the 3E (energy, exergy, and environment) analysis can be an effective means of

evaluating thermal power plant performance from varying perspectives [2-4].

In order to achieve optimal operational efficiency while controlling costs and minimizing the environmental impact of a power plant, it is first necessary to establish the nature and whereabouts of the various aspects which require improvement, as well as to determine the scale of the problems. To do this, exergy analysis can be applied since it allows the various thermodynamic inefficiencies within the system to be quantified. Exergy can be defined as the work of a system as it achieves thermodynamic equilibrium with its surrounding environment in a process which can be reversed. Exergy analysis has been applied frequently over recent years in research into power plants [5-9].

In practice, the solutions to real-world problems must often address more than one objective. For instance, it may be necessary to minimize risk, cost, or variation from specific targets while maximizing reliability and efficiency. When applying single-objective optimization (SOO), the approach aims to find just one solution deemed to be the best by treating all of these objectives as a single goal. While this approach can help decision makers provide an overview of the problems, it does not provide a range of solutions that offer different advantages as the various objectives are balanced against each other. In contrast, multi-objective optimization (MOO) allows many solutions to be proposed since no single solution is optimal for all of the contrasting objectives. The solutions form a set of compromises described as the trade-off, non-inferior, non-dominated, or Pareto-optimal solutions [10].

Used in isolation, SOO will locate one optimal solution, but it can also be used as a MOO component. When this is done, the various objectives are not combined to form a single goal, but instead, the algorithm works to optimize one of the variables while the others are pre-set to act as constraints. Where objectives take the form of constraints, the levels are set in line with the desired objective function, minimizing cost, or maximizing reliability. The algorithm then performs multiple runs, aiming to determine solutions that satisfy the requirements of various constraint combinations. The problem is that typical real-life scenarios in design and planning have vast numbers of alternative solutions. Therefore, MOO techniques will be advantageous to discover a broader range of solutions, especially where it is not specified initially which of

the various objectives must be prioritized when seeking solutions since pre-specification of this preference is not required [10].

In recent years, the real world, along with its various problems, has become increasingly complex, and as a consequence, it is necessary to apply metaheuristic techniques that are better able to address these scenarios. Such algorithms are now routinely applied to find optimal solutions in situations involving engineering design. Their use has risen in popularity due to their ability to handle complex real-life scenarios efficiently in a manner superior to that of traditional classical approaches [11].

There are four main metaheuristic classes, based on the underlying form of inspiration taken by each type. These four types can be listed as follows: Swarm Intelligence (SI), Evolutionary Algorithm (EA), Physical Algorithms, and Human Algorithms [12-13].

The typical EA has its basis in natural Darwinian evolution, and it mimics the evolutionary biological processes of recombination, mutation, and selection. Examples of this type include the Genetic Algorithm (GA) [14] and Differential Evolution (DE) [15]. SI is based on how animal groups behave naturally, whether migrating, hunting, or seeking a mate. One widely applied example is Particle Swarm Optimization (PSO) [16], while the Spotted Hyena Optimizer (SHO) [17] is also gaining attention, along with the Jellyfish Search

## II. METHODOLOGY

### A. Spotted Hyena Optimizer (SHO)

The SHO [17] is based on spotted hyena packs' activity as they converge upon their prey [17]. The animals operate in groups and work together to locate their prey, surround it, and launch their attack. The algorithm, therefore, models this behavior as it searches for optimal solutions, shown Fig.1 [17].

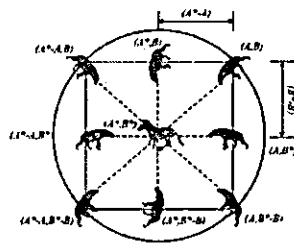


Fig. 1. The locational updates mechanism for the search agent, when  $(A, B)$  its initial position and  $(A', B')$  updates its position of prey [17].

In the course of the search process, the best candidate solution at any given point can take the role of the prey, which acts as a solution close to the optimum since there is no prior knowledge of the search space [24]. The SHO assumes that the elite search agent is aware of the prey's whereabouts, while the other individuals will seek to make positional updates by forming a cluster-based around the elite agent. Earlier studies have detailed the equations which govern the activity of the SHO [25-27]. The mathematical modeling of the phase where the prey is surrounded during the optimization follows the equations given below [17],[25]:

$$\bar{D}_h = |\bar{B} \cdot \bar{P}_p(t) - \bar{P}(t)| \quad (1)$$

$$\bar{P}(t+1) = \bar{P}_p(t) - \bar{E} \cdot \bar{D}_h \quad (2)$$

in which  $t$  indicates the present iteration,  $\bar{B}$  and  $\bar{E}$  acts as the coefficient vectors,  $\bar{P}_p$  represents the prey position vector,  $\bar{P}$  represents the spotted hyena position vector,  $\bar{D}_h$  shows the distance between the hyena and the prey, and  $|\cdot|$  indicates the absolute value and vector multiplication [17], [25].

The coefficient vectors given by  $\bar{B}$ ,  $\bar{E}$  can be determined as shown [17], [25].

$$\bar{B} = 2 \cdot \Phi \bar{d}_1 \quad (3)$$

$$\bar{E} = 2\bar{h} \cdot \Phi \bar{d}_2 - \bar{h} \quad (4)$$

$$\bar{h} = 5 - \left( t * \left( \frac{5}{T} \right) \right) \quad (5)$$

in which  $t=1,2,3,\dots,T$  arranged to achieve a balance of exploration and exploitation, while  $\bar{h}$  decreases in a linear fashion from 5 to 0 as the iterations progress, and  $\Phi \bar{d}_1$ ,  $\Phi \bar{d}_2$  serve as random vectors in  $[0,1]$  [17], [25].

To replicate the hunting activity of the hyenas in mathematical form, it is assumed that the best search agent is the optimum, representing the prey location, while the other search agents undergo a process of position updating as they approach the best solution, which is then saved. Mathematically, the following equations convey this process [17], [25].

$$\bar{D}_h = |\bar{B} \cdot \bar{P}_h - \bar{P}_k| \quad (6)$$

$$\bar{P}_k = \bar{P}_h - \bar{E} \cdot \bar{D}_h \quad (7)$$

$$\bar{C}_h = \bar{P}_k + \bar{P}_{k+1} + \dots + \bar{P}_{k+N} \quad (8)$$

$$N = c_{nos} \left( \bar{P}_h, \bar{P}_{h+1}, \bar{P}_{h+2}, \dots, (\bar{P}_h + \bar{M}) \right) \quad (9)$$

Initially,  $\bar{P}_h$  it shows the location of the best hyena,  $\bar{P}_k$  shows the location of other hyenas,  $N$  reveals the total number of hyenas,  $\bar{M}$  acts as a random vector  $[0.5,1]$ ,  $nos$  indicates how many solutions there are,  $c_{nos}$  is the count of the candidate solutions,  $\bar{C}_h$  indicates a cluster containing  $N$  optimal solutions [17],[25].

To formulate the mathematical model describing the attack upon the prey, the equation given below is applied [17], [25].

$$\bar{P}(t+1) = \frac{\bar{C}_h}{N} \quad (10)$$

$\bar{P}(t+1)$  indicates the current best solution while giving updates of the other search agent positions relative to that of

the best agent, and as the hyena attack upon the prey takes place, the positions are updated continuously [17],[25].

Based on the various approximations, the steps required for the SHO can be simplified to create the SHO algorithm, for which the pseudo-code is indicated, as shown below [17],[25].

#### SHO algorithm [17],[25]

1. Determine the spotted hyena population  $P_i$  ( $i = 1, 2, \dots, n$ )
2. Initialize  $h$ ,  $B$ ,  $E$  and  $N$
3. Determine the fitness for each of the search agents
4.  $\bar{P}_h$  = the best search agent
5.  $\bar{C}_h$  = the group or cluster of all far optimal solutions
6. While ( $t < \text{Max number of iterations}$ ) do
7.     for each search agent, do
8.         Update the position of the current search agent by Eq. (10)
9.     End for
10.     Update  $h$ ,  $B$ ,  $E$  and  $N$
11.     Assess whether any search agent extends beyond the search space and make the necessary alterations
12.     Assess the fitness for each of the search agents
13.     Assess  $\bar{P}_h$  and determine whether a superior solution can be found using Eq. (6) and Eq. (7)
14.      $t = t + 1$
15. end while
16. Return  $\bar{P}_h$

#### B. Optimization formulation

This study investigates the use of SHO with both SOO and MOO problems. The MOO process generates a set of solutions that are non-determined and known as a Pareto optimal set. Each of these sets is based on a compromise between the stated objectives and represents an option that the user can choose when considering the prioritization of different objectives based on the specific circumstances faced in any given project. In general, the MOO problem can be set out, as shown below [28]:

$$\left. \begin{array}{ll} \min/\max: & f_i(x) \quad i = 1, 2, \dots, l \\ \text{Subject to:} & g_j(x) = 0 \quad j = 1, 2, \dots, m \\ & h_k(x) \geq 0 \quad k = 1, 2, \dots, p \\ & X = (x_1, x_2, \dots, x_n)^T \end{array} \right\} \quad (11)$$

Where  $f_i(x)$  indicates the  $k^{\text{th}}$  objective function, and min/max denotes the combination of object operations. Moreover,  $k$  it refers to the total number of functions of the criteria. It is the decision vector, while  $X$  it serves as the column vector representing the  $n$  independent variables.  $g_j(x) = 0$  indicate equality constraints while  $h_k(x) \geq 0$  serving as inequality constraints. In the absence of friction arising objective functions, it is possible to reach a solution for which all of the stated objective function will be optimized. However, to eliminate trivial cases, there is the assumption that no single solution exists, which will be optimal for all objective functions. From this, it can be inferred that there

must be a certain degree of conflict among the objective functions, which will also be expressed using different units.

In this research, the MOO-SHO, which can be solved through the weighted sum method (WSM), which changes MOO problems into aggregated scalar objective functions, which is achieved by multiplication of each of the objective functions by a weighting factor, followed by determining the sum of all the terms, as shown Eq. (12) [29-33]:

$$\min/\max_{x \in U} z = \sum_{k=1}^k w_k f_k(x) \quad (12)$$

This research has three fitness functions shown in Eq. (13-15), which can be expressed:

$$\max f_E(\eta_{E, \text{condenser}}, \eta_{E, \text{turbogenerator}}, \eta_{E, \text{boiler}}) \quad (13)$$

$$\max f_c(\eta_{E, \text{condenser}}, \eta_{E, \text{turbogenerator}}, \eta_{E, \text{boiler}}) \quad (14)$$

$$\min f_c(C_{\text{condenser}}, C_{\text{turbogenerator}}, C_{\text{boiler}}) \quad (15)$$

In which the average enthalpy efficiency, average exergy efficiency, and the overall cost shown as a symbol  $f_E$ ,  $f_c$ ,  $f_c$ , respectively.

#### C. Cogeneration power plant (CGPP) problem

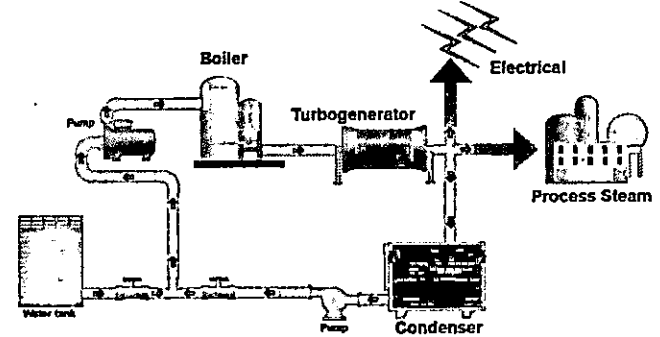


Fig. 2. CGPP configuration.

The configuration for the CGPP is presented in Fig. 2 and shows the various components, including the condenser, the turbogenerator, the boiler, and the transformer. As the operational process takes place, the water, and natural gas initially enter the boiler, proceeding to the turbine, connected to the generator to produce electricity. For each of these processes, the steady-state and steady-flow conditions must be taken into consideration.

#### - Energy analysis

Using the first law of thermodynamics, it is thus possible to calculate the enthalpy efficiency ( $\eta_E$ ) for each of the key equipment items using the equation:

$$\eta_E = \left( \frac{\sum \text{Enthalpy output}}{\sum \text{Enthalpy input}} \right) \times 100 \quad (16)$$

#### - Exergy analysis

Using the second law of thermodynamics, it is possible to calculate the enthalpy efficiency ( $\eta_E$ ) for each of the key equipment items through the application of:

$$\eta_c = \left( \frac{\sum \text{Exergy output}}{\sum \text{Exergy input}} \right) \times 100 \quad (17)$$

#### - Economics Evaluation

##### 1. Cost of condenser [33]

$$C_{\text{condenser}} = A_{\text{condenser}}^{1.01} \times 280 \quad (18)$$

Where  $A_{\text{condenser}}$  is the heat transfer area on the condenser ( $\text{m}^2$ )

##### 2. Cost of turbogenerator [34-35]

$$C_{\text{turbogenerator}} = \frac{(560 \times P_{ts}^{0.45}) + \left( c_0 \times \frac{w_{\text{elec}}}{w_{\text{elec},0}} \right)^{0.67}}{1.18} \quad (19)$$

Where  $w_{\text{elec}}$  is power electrical (kW)

$w_{\text{elec},0}$  is power electrical at reference (kW)

$P_{ts}$  is the turbine shaft power output (kJ/h)

$c_0$  is the cost correlations at reference condition (\$USD)

##### 3. Cost of boiler [34]

$$C_{\text{boiler}} = 3 \times Q_b^{0.77} \times N_{FP} \times N_{FT} \quad (20)$$

Where  $Q_b$  is the boiler heat require (kJ/h)

$N_{FP}$  is the operating pressure factor

$N_{FT}$  is a superheat temperature factor

#### D. SHO algorithm Validation

Before applying the SHO algorithm, it must undergo validation, which can be carried out via MATLAB software V.2020a. The process used a population of 50, with 1000 iterations, while the SHO parameters can be found in [17].

Accordingly, two well-known functions of the benchmark function problem [36] underwent testing: unimodal (Function 1) and multimodal (Function 2).

Function 1: Step function is a unimodal function for which the minimum solution 0 is located  $f_1(x^*) = [0, 0, \dots, 0]$  while the values lie within the range of  $[-100, 100]$ .

$$f_1(x) = \sum_{i=1}^n (x_i + 0.5)^2 \quad (21)$$

in which the values of  $n=100$

Function 2: Penalized-1 function serves as a problematic multimodal function. The global optimum 0 has the location  $f_2(x^*) = [1, 1, \dots, 1]$  within the domain of  $[-50, 50]$ . The mathematical representation of the Penalized-1 function is shown as follows:

$$f_2(x) = \frac{\pi}{D} \left\{ 10 \sin^2(\pi y_1) + \sum_{i=1}^{D-1} (y_i - 1)^2 [1 + 10 \sin^2(\pi y_{i+1})] + (y_D - 1)^2 \right\} + \sum_{i=1}^D u_i \quad (22)$$

$$u_i = \begin{cases} k(x_i - a)^n & x_i > a \\ 0 & a \leq x_i \leq a \\ k(-x_i - a)^n & x_i < -a \end{cases} \quad (23)$$

$$y = 1 + \frac{(x_i + 1)}{4} \quad (24)$$

in which the parameter values are set to be  $D=20, k=100, a=10$ , and  $n=4$ .

### III. RESULTS AND DISCUSSION

#### A. Validation of the algorithm

The 30 reliability tests using the SHO algorithm conclude that the SHO algorithm can be applied to seek solutions and determine the global optima or near-global optima for all formula types. As indicated by the standard deviation, the test robustness may be summarized using the statistical findings shown in Table 1.

TABLE I. STATISTICAL DATA

Function	Mean	Best	Worst	St.dev.
Step	0.0088	0	0.2486	0.0454
Penalized	0.0001	0	0.0022	0.0004

#### B. Comparing the SOO and MOO options using SHO algorithm

To evaluate the running performance, the test would be run 30 times for each option using the same fitness for the first iteration according to the SHO algorithm. When the four options were examined, the SOO tests were  $\eta_E, \eta_c, C_c$  options while the MOO test was performed to compare the performance. The resulting scatter plot for the correlation is shown in Fig. 3, using a bar for each option. The SOO test  $\eta_E$  appears in Fig.3(a) and the MOO value for enthalpy's average efficiency. Consequently, the SOO  $\eta_c$  option and the MOO provide quite similar values at 79.05% to 83.16%. In contrast, the SOO  $C_c$  option provides the worst average efficiency of enthalpy value at 65.21% to 71.29%.

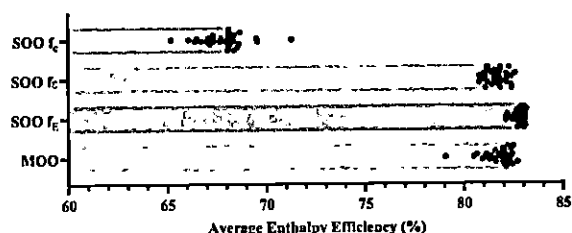
In Fig. 3(b), the MOO for average efficiency of exergy achieves a maximum value at 38.05%, while running the SOO  $\eta_c$  provides the best outcome at 37.79%. It can thus be inferred that the MOO searching solution is preferable to that of the SOO  $\eta_c$  option and the alternative SOO. Fig. 3(c) shows more excellent value in running the MOO for overall costs than the SOO for cost options. However, the SOO's enthalpy and exergy values and efficiencies are low, while overall cost value saves. The temperature used is also low, and therefore the values for energy consumption, enthalpy, and exergy efficiency are also low due to the relationship between these factors.

Data for the mean and st. dev. for the average efficiency of enthalpy, the average efficiency of exergy, and the overall cost for both MOO and SOO options can be observed in Table 2, from which it can be determined that the MOO testing approach provides an acceptable mean and robust st. dev.

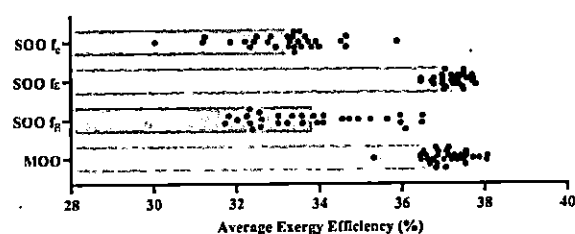
The difference between the MOO and SOO options lies in the search solution capacity. Only one objective function will be suitable for solving the SOO problem because SOO can find only one function globally optimized, but the other two objective functions are local optima points. However, multiple objective functions will arise to meet MOO because MOO can find the global optima point or near-global point of all objective functions.

TABLE II. STATISTICAL DATA OF EACH OPTION

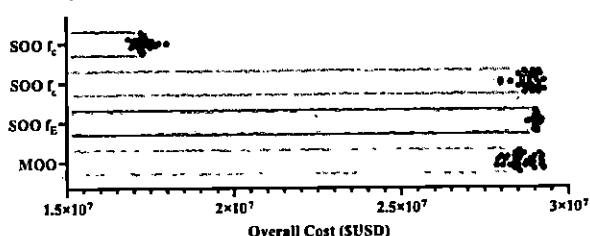
Option	$\eta_{F, \text{Average}}$ , % (Mean/St.dev.)	$\eta_{E, \text{Average}}$ , % (Mean/St.dev.)	Cost <sub>overall</sub> (x10E7) , \$USD (Mean/St.dev.)
MOO	79.05-82.43 (81.54/0.75)	35.31-38.05 (37.03/0.56)	2.79-2.93 (2.87/0.0512)
SOO $f_E$	82.11-83.16 (82.79/0.29)	31.73-36.50 (33.82/1.46)	2.88-2.92 (2.90/0.00817)
SOO $f_e$	80.78-82.59 (81.66/0.50)	36.47-37.79 (37.22/0.35)	2.80-2.93 (2.88/0.0318)
SOO $f_c$	65.21-71.29 (67.86/1.13)	30.04-35.89 (33.18/1.13)	1.68-1.80 (1.73/0.0248)



(a)



(b)



(c)

Fig. 3. The individual scatters plot values using column bars for each option via SHO (a) average enthalpy efficiency, (b) average exergy efficiency, (c) overall cost.

#### IV. CONCLUSION

In this paper, a CGPP system was evaluated using a single and multi-objective optimization structure to minimize cost, maximize energy efficiency, and simultaneously maximize exergy efficiency. A novel balanced model of the spotted Hyena Optimizer (SHO) algorithm was used to perform the optimization to achieve these aims.

The varying attributes and efficiencies of the proposed methods were assessed by comparing the algorithm performance when addressing SOO and MOO problems with the CGPP under specified constraints. For the optimal average energy and exergy efficiency, MOO-SHO achieved respective values of 82.43% and 38.05%, while the optimal overall equipment cost for the CGPP system was \$USD 2.79 x 10E7. In comparison, the SOO-SHO achieved 83.16% and 37.79% values for energy and exergy efficiency, with a total cost of \$USD 1.68 x 10E7. Therefore, it was concluded that when the SOO-SHO was used to optimize the CGPP system, there could not be a single optimal solution where the objectives were contradictory since no single value could simultaneously address all of these objectives. Where the MOO-SHO approach is used, sets of solutions will be generated, superior to other alternatives within a particular search space concerning the specified objectives. However, when only one of the objectives is examined, the solutions generated may not be optimal. This is also true when considering a small subset of the entire set of objectives overall. Thus, it can be demonstrated that when the constraints are relaxed, it is possible to generate an appropriate MOO function for the CGPP system.

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## Application of Spotted Hyena Optimizer in Cogeneration Power Plant on Single and Multiple-Objective

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Abstract

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In this paper, a Spotted Hyena Optimizer (SHO) is employed in the context of addressing a

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# Content

Sr. No.	Paper ID	Paper Title	Page No
<b>SESSION 1: ALGORITHMS AND THEORY</b>			
01.1	1570698753	Application of Spotted Hyena Optimizer in Cogeneration Power Plant on Single and Multiple-Objective	0001
01.2	1570693835	Removal of Wandering Baseline Artifact From Electrocardiogram Signals	0007
01.3	1570694629	Applying HRV Based Online Clustering Method to Identify Driver Drowsiness	0012
01.4	1570698856	Energy-Efficient Thermal Comfort Control in Smart Buildings	0022
01.5	1570692724	Outlier Prediction Using Random Forest Classifier	0027
<b>SESSION 2: ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING</b>			
02.1	1570697939	Towards Compositional Abstraction of Analog Neuronal Networks	0034
02.2	1570695938	Reinforcement Learning Based Penetration Testing of a Microgrid Control Algorithm	0038
02.3	1570696219	Texture Analysis for Machine Learning Based Marble Tiles Sorting	0045
02.4	1570696294	Evaluation of Combining Optical and SAR Imagery for Burned Area Mapping Using Machine Learning	0052
02.5	1570698146	Automatic Video Summarization With Timestamps Using Natural Language Processing Text Fusion	0060
<b>SESSION 3: ALGORITHMS AND THEORY</b>			
03.1	1570695852	Pulse Separation Using Time-Frequency Mask and Machine Learning	0067
03.2	1570695944	Exploring the Effect of Noisy Environment on Distributed Problem Solving	0073
03.3	1570696230	Towards Optimizing Task Scheduling Process in Cloud Environment	0081
03.4	1570696485	Application of Firefly Algorithm to UWB Indoor Positioning	0088

03.5	1570696658	Benchmarking of Regression Algorithms for Simulating the Building's Energy	0094
<b>SESSION 4: ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING</b>			
04.1	1570698429	Analysis of Distracted Driver Behaviour Using Self-Organizing Maps	0101
04.2	1570695378	Compressed MobileNet V3:A Light Weight Variant for Resource-Constrained Platforms	0104
04.3	1570696811	Dynamic Phase Calibration Method for CSI-Based Indoor Positioning	0108
04.4	1570693892	Detection of HTTPS Brute-Force Attacks With Packet-Level Feature Set	0114
04.5	1570698836	WiFi-Based Environment Adaptive Positioning With Transferable Fingerprint Features	0123
<b>SESSION 5: BIG DATA, DATA MANAGEMENT AND ANALYTICS</b>			
05.1	1570698849	Sentiment Analysis of the United States Senate Twitter Feeds in Election Year 2020	0129
05.2	1570692939	News Categorization Using Hybrid BiLSTM-ANN Model With Feature Engineering	0134
05.3	1570696498	An Ontology-Based Approach for Curriculum Mapping in Higher Education	0141
05.4	1570697855	Medi Crawl - A Web Search Engine for Diseases	0148
05.5	1570696316	Monitoring People Moving During Covid-19 Pandemic in the Emilia-Romagna Region	0158
<b>SESSION 6: ALGORITHMS AND THEORY</b>			
06.1	1570696699	Accumulated Generalized Mean Value - a New Approach to Flow-Based Feature Generation for Encrypted Traffic Characterization	0165
06.2	1570697062	A Virtual Reality (VR) Based Interactive and Educative Experience of Hajj and Umrah for the People of Bangladesh	0170
06.3	1570697010	An Intuitionistic Fuzzy-MCDM Approach Adapted to Minimum Spanning Tree Algorithm for Spreading Content on Social Media	0174
06.4	1570697593	Stock Market Prediction Web Service Using Deep Learning by LSTM	0180
<b>SESSION 7: ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING</b>			
07.1	1570698790	EEG Signal Analysis for Seizure Detection Using Recurrence Plots and Tchebichef Moments	0184
07.2	1570697961	Explainable Neural Network-Based Modulation Classification via Concept Bottleneck Models	0191

07.3	1570696667	BinArray: A Scalable Hardware Accelerator for Binary Approximated CNNs	0197
07.4	1570695748	Intrinsic Hierarchical Clustering Behavior Recovers Higher Dimensional Shape Information	0206
07.5	1570696745	Dynamic Performance Improvement of DFIM Based on Hybrid Computational Technique	0213
<b>SESSION 8: ALGORITHMS AND THEORY AND ARTIFICIAL INTELLIGENCE</b>			
08.1	1570698433	Addressing Data Sparsity in Collaborative Filtering Based Recommender Systems Using Clustering and Artificial Neural Network	0218
08.2	1570698028	Perfect Tabular Hashing in Pseudolinear Time	0228
08.3	1570698613	Wavelet Analysis Using Hilbert Transform and Matching Algorithm for Radar Receiver System	0233
08.4	1570697946	District Energy Optimization Based on MLP Simulation	0238
<b>SESSION 9: ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING</b>			
09.1	1570698197	Transfer Learning Model for Disrupting Misinformation During a COVID-19 Pandemic	0245
09.2	1570695781	Track Prediction of Tropical Cyclones Using Long Short-Term Memory Network	0251
09.3	1570698383	Monuments Recognition Using Deep Learning VS Machine Learning	0258
09.4	1570698604	SDPredictNet-A Topology Based SDN Neural Routing Framework With Traffic Prediction Analysis	0264
09.5	1570698804	Row Detection Using a Machine Learning Approach for Autonomous Agriculture Vehicles	0273
09.6	1570697963	Indian Premier League Player Selection Model Based on Indian Domestic League Performance	0277
<b>SESSION 10: BIG DATA, DATA MANAGEMENT AND ANALYTICS AND ARTIFICIAL INTELLIGENCE</b>			
10.1	1570698411	Pattern Analysis for Transaction Fraud Detection	0283
10.2	1570696871	Immersive Analytics Application in Smart Agriculture and Animal Behavior	0290
10.3	1570698414	Analysis of Airbnb Prices Using Machine Learning Techniques	0297
10.4	1570698574	Analyzing Stance and Topic to E-Cigarette Conversations on Twitter: Case Study in Indonesia	0304
10.5	1570698872	Semi-Automatic Reliable Explanations for Prediction in Graphs	0311

10.6	1570698415	Determining Level of Service of California Highways	0321
<b>SESSION 11: ALGORITHMS AND THEORY AND ARTIFICIAL INTELLIGENCE</b>			
11.1	1570698633	Quasi-Monte Carlo Integration on the Unit Disk	0329
11.2	1570698758	On Distributed Forest Decomposition of Graphs With Bounded Arboricity	0334
11.3	1570698803	A Self-Updating K-Contingency List for Smart Grid System	0341
11.4	1570698820	Motion Detection With Segmentation of Optical Flows for Infrared Images	0347
11.5	1570698833	A Broadcasting Heuristic for Hypercube of Trees	0355
11.6	1570698017	Understanding the Pandemic Through Mining Covid News Using Natural Language Processing	0362
<b>SESSION 12: WIRELESS COMMUNICATIONS</b>			
12.1	1570696334	Performance Evaluation of Adaptive Beaconing for High-Speed Wireless LAN Communication With Running Vehicle APs	0368
12.2	1570698550	Downlink Interoperability Model of LTE/Wi-Fi Integration/Coexistence With Performance Evaluation	0373
12.3	1570696746	Proposal of User Cooperative Mobility Control for Higher Throughput in Social Ad Hoc Networks With Dynamic Optimal Routing	0380
12.4	1570698069	Secrecy Enhancement of a Cognitive Two-Way Relay Network With Coordinate Interleaving	0387
12.5	1570697747	Performance Characterization of 64-APSK Mapping Schemes for Uncoded Space-Time Labeling Diversity in Rician Fading Channels	0393
12.6	1570696846	Centralized Route Control for Expanding Coverage by Wireless LAN With Many Vehicle Aps	0399
<b>SESSION 13: ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING AND ALGORITHM</b>			
13.1	1570694106	Video Anomaly Detection Method Based on Future Frame Prediction and Attention Mechanism	0405
13.2	1570693006	SeVa: A Food Donation App for Smart Living	0408

13.3	1570694064	Development of the Protocol for Inter-Autonomous Systems Routing in Software Defined Networks	0414
13.4	1570698863	Radar Pulse on Pulse Identification Algorithm Hardware Acceleration Performance Analysis	0423
13.5	1570696869	Improving Reward Functions in Robots Playing Capture the Flag Using Q-Learning	0426
<b>SESSION 14: CLOUD COMPUTING</b>			
14.1	1570694972	Tradeoff and Solutions of Data Storage in Edge Computing	0432
14.2	1570696638	Optimization of Event Management Activity in Public Health Services Using OpenERP With Quickstart Approach	0439
14.3	1570696710	Private Cloud Tuning for Efficient Inter-Server Simulation Execution	0445
14.4	1570692570	Data Science Techniques to Detect Fraudulent Resource Consumption in the Cloud	0451
14.5	1570694001	Business Continuity Implementation for Data Center Environments	0458
<b>SESSION 15: CLOUD COMPUTING &amp; BIG DATA MANAGEMENT</b>			
15.1	1570698691	An Online Cost Optimization Algorithm for IaaS Instance Releasing in Cloud Environments	0463
15.2	1570695038	A Share Quota Manager for the Public Administrations	0470
15.3	1570698866	Election Data Analysis From Clustering Welfare Data	0475
15.4	1570694094	Emerging Trends in Data Center Management Automation	0480
15.5	1570698913	Blockchain Simulation: A Web Application for IT Education	0486
<b>SESSION 16: ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING</b>			
16.1	1570694359	Deep Learning-Based Resolution Prediction of Software Enhancement Reports	0492
16.2	1570694470	Analysing Tweets on New Norm: Work From Home During COVID-19 Outbreak	0500
16.3	1570694548	Effect of Absolute Cosine Value Regularization on VGG-19	0508
16.4	1570694565	Bayesian Time-Series Analysis to Predict Real-Time Smart Grid Energy Price Uncertainty	0517
16.5	1570694913	CondenseNeXt: An Ultra-Efficient Deep Neural Network for Embedded Systems	0524
<b>SESSION 17: CLOUD COMPUTING AND DATA CENTER SYSTEMS</b>			

17.1	1570698554	A Kubernetes CI/CD Pipeline With Asylo as a Trusted Execution Environment Abstraction Framework	0529
17.2	1570697047	Online Store for Local Small and Medium Business	0536
17.3	1570698596	An Empirical Study in Edge Computing Using AWS	0542
17.4	1570697053	Development of Online Home Sharing Web Application	0550
17.5	1570696157	Parallel Proxy Re-Encryption Workload Distribution for Efficient Big Data Sharing in Cloud Computing	0554
<b>SESSION 18: ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING</b>			
18.1	1570695627	Effectiveness of Discrete Particle Swarm Optimization and Binary Differential Evolution for Process Formation	0560
18.2	1570696003	Resilient Cyber-Security Approach for Aviation Cyber-Physical Systems Protection Against Sensor Spoofing Attacks	0565
18.3	1570696021	A Review on Edge Intelligence Based Collaborative Learning Approaches	0572
18.4	1570696090	Deep Learning for Optimized Multiuser OFDMA Energy-Efficient Wireless Transmission	0578
18.5	1570696263	Drug Safety Intelligence and Automation	0585
<b>SESSION 19: COMPUTER ARCHITECTURE, VLSI AND P2P COMPUTING</b>			
19.1	1570697815	Evaluating the Performances of Memristor, FinFET, and Graphene TFET in VLSI Circuit Design	0591
19.2	1570698652	FPGA-Based Implementation of MSPWM Utilizing 64-Input LUT for Reference Signal Generation	0597
19.3	1570698848	CSRaas: Composite Service Rendezvous as a Service for IoT-Based Smart Environments	0603
19.4	1570698597	CoviReader: Using IOTA and QR Code Technology to Control Epidemic Diseases Across the US	0610
19.5	1570698610	Factors Enhancing the Use of Business Intelligence to Support Organizational Learning: A Conceptual Model	0619
19.6	1570698044	A Novel Multi-Hop Routing Protocol for D2D Communications in 5G	0627
<b>SESSION 20: ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING</b>			
20.1	1570696265	Cyber-Security Enhancement of Smart Grid's Substation Using Object's Distance Estimation in Surveillance Cameras	0631
20.2	1570696446	Novel CNN and Hybrid CNN-LSTM Algorithms for UWB SNR Estimation	0637

20.3	1570696364	App Success Classification Using Machine Learning Models	0642
20.4	1570696400	Novel Device Detection Using RF Fingerprints	0648
20.5	1570692866	Optic Disk Extraction and Hard Exudate Identification in Fundus Images Using Computer Vision and Machine Learning	0655
20.6	1570696361	Performance of Different Classification Models on National Coral Reef Monitoring Dataset	0662
<b>SESSION 21: COMPUTATIONAL INTELLIGENCE AND COMPUTER GRAPHICS</b>			
21.1	1570695034	Comparison of Two Differential Evolution Approaches for Combinatorial Double Auctions	0667
21.2	1570698878	Data Mining of Twitter Retweets: A Visual and Practical Representation	0672
21.3	1570697036	Cyber-Hero: A Gamification Framework for Cyber Security Awareness for High Schools Students	0677
21.4	1570697190	Petri Nets Modelling of Assembly Robots Coordination	0683
21.5	1570696115	Studying Execution Time and Memory Transfer Time of Image Processing Using GPU Cards	0689
21.6	1570696329	Design Minimum Monitors and Maximally Permissive Supervisors Models of FMS by S4PR-Nets	0696
<b>SESSION 22: ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING</b>			
22.1	1570696482	Security and Privacy Issues With Virtual Private Voice Assistants	0702
22.2	1570696452	Severity Assessment of Cervical Lymph Nodes Using Modified VGG-Net, and Squeeze and Excitation Concept	0709
22.3	1570698537	Accelerated Modified Sine Cosine Algorithm for Data Clustering	0715
22.4	1570696458	Novel Cascade CNN Algorithm for UWB Signal Denoising, Compressing, and ToA Estimation	0721
22.5	1570696490	Automotive Feature Coordination Based on a Machine-Learning Approach	0726
22.6	1570696449	Unsupervised Emitter Clustering Through Deep Manifold Learning	0732

<b>SESSION 23: CRYPTOGRAPHY , SECURITY AND ROBOTICS</b>			
23.1	1570698606	Performance Analysis of Gaussian Normal Basis GF(2m) Serial Multipliers and Inverters	0738
23.2	1570698870	Predictive Tracking of a High Capability Malicious UAV	0747
23.3	1570692918	A Lightweight Countermeasure to DIS Attack in RPL Routing Protocol	0753
23.4	1570696437	A Study on UAV Operating System Security and Future Research Challenges	0759
23.5	1570698632	Correlation of Cyber Threat Intelligence Data Across Global Honeypots	0766
23.6	1570698854	A Secure Communication Method for CANBus	0773
<b>SESSION 24: ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING</b>			
24.1	1570696564	Effectiveness of Classifiers to Identify Hand Gestures With Motion Capture Coordinate Markers	0779
24.2	1570696550	CombinoChord: A Guitar Chord Generator App	0785
24.3	1570696570	Performance and Predicting of Inbound Logistics Processes Using Machine Learning	0790
24.4	1570696568	Rapid Detection of Bacteria Using Raman Spectroscopy and Deep Learning	0796
24.5	1570696507	S-PANET: A Shallow Convolutional Neural Network for Pain Severity Assessment in Uncontrolled Environment	0800
24.6	1570698559	Intrusion Detection in Software Defined Network Using Deep Learning Approach	0807
<b>SESSION 25: ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING</b>			
25.1	1570696559	Machine Learning Techniques for Detection of Website Phishing: A Review for Promises and Challenges	0813
25.2	1570696672	A Deep Learning-Based Multi-Model Ensemble Methods for Eye State recognition from EEG	0819
25.3	1570696681	Which Machine Learning Method for Outbreaks Predictions?	0825
25.4	1570696723	Consensus From Distributed Iterative Voting	0829
25.5	1570696756	Predictive Analytics of Donors in Crowdfunding Platforms: A Case Study on Donorschoose.org	0834



<b>SESSION 26: SECURITY, TRUST AND PRIVACY</b>			
26.1	1570698139	MCTA: Mobile-Coverage Scheme Based on Trust-Aware for Hybrid WSNs	0839
26.2	1570696245	Application of the Spectra of Graphs in Network Forensics	0846
26.3	1570696121	A Survey on Security Attacks and Solutions in the IoT Network	0853
26.4	1570696247	On the Use of the SSIM Algorithm for Detecting Intellectual Property Copying in Web Design	0860
26.5	1570696148	CryptoRevoke: A Cryptographic Accumulator Based Distributed Certificate Revocation List	0865
<b>SESSION 27 : ROBOTICS AND HUMAN COMPUTER INTERACTION</b>			
27.1	1570696261	Semiotic Recognition System	0873
27.2	1570696818	AR/VR Tutorial Systems for Human-Robot Teaming	0878
27.3	1570698387	Performance of Single Board Computers for Vision Processing	0883
27.4	1570696895	Credibility-Based Countermeasure Against Slow HTTP DoS Attacks by Using SDN	0890
27.5	1570698174	Digital Transformation as an Agent of Change in a Pharmaceutical Industry From the Perspective of Dynamic Capabilities	0896
<b>SESSION 28: ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING</b>			
28.1	1570696848	An Initial Deep CNN Design Approach for Identification of Vehicle Color and Type for Amber and Silver Alerts	0903
28.2	1570696779	Simple Automated Narrative Generator (SANG)	0909
28.3	1570696760	Station-Level Demand Prediction for Bike-Sharing System	0916
28.4	1570696944	Geometrical evaluation of the Scar in Left Ventricle Using TDA	0922
28.5	1570697049	Design and Feasibility Analysis of NSUGT A Machine Learning Based Mobile Application for Education	0926
<b>SESSION 29: SECURITY, TRUST, PRIVACY</b>			
29.1	1570696545	Forensic Requirements Specification for Mobile Device Malware Forensic Models	0930
29.2	1570696284	Evaluation of Recurrent Neural Networks for Detecting Injections in API Requests	0936
29.3	1570697424	A Multi-Layered Defense Approach to Safeguard Against Ransomware	0942
29.4	1570696698	Improving Physical Layer Security of Two-Way Cooperative DF System Using Modulation Diversity	0948

<b>SESSION 30: ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING</b>			
30.1	1570698628	Anonymous Prediction of Mental Illness in Social Media	0954
30.2	1570698689	EEG-Based Image Classification Using Machine Learning Algorithms	0961
30.3	1570698694	Recommend Speciality Doctor From Health Transcription: Ensemble Machine Learning Approach	0967
30.4	1570698699	Medical Prescription Recognition Using Machine Learning	0973
30.5	1570698748	Using Artificial Intelligence in Enhancing Banking Services	0980
<b>SESSION 31: SECURITY, TRUST AND PRIVACY</b>			
31.1	1570697526	Parallel, True Random Number Generator (P-TRNG): Using Parallelism for Fast True Random Number Generation in Hardware	0987
31.2	1570697957	Discord Forensics: The Logs Keep Growing	0993
31.3	1570698455	ML Based NIDS to Secure RPL From Routing Attacks	1000
31.4	1570698488	Wireless Encryption and WPA2 Weaknesses	1007
<b>SESSION 32: ARTIFICIAL INTELLIGENCE AND MACHINE LAERNING</b>			
32.1	1570698749	Modeling the Operating Characteristics of IoT for Underwater Sound Classification	1016
32.2	1570698757	Using a Raspberry Pi to Prevent an Intoxicated Driver From Operating a Motor Vehicle	1023
32.3	1570698760	A Hybrid Probabilistic Ensemble Based Extreme Gradient Boosting Approach for Breast Cancer Diagnosis	1029
32.4	1570698766	Data Quality Visual Analysis (DQVA)	1036
32.5	1570698771	Improved Sampling and Feature Selection to Support Extreme Gradient Boosting for PCOS Diagnosis	1046
32.6	1570698781	Classifying Sound Sources Based on Directions Using Audio Visual Stimulus	1051
<b>SESSION 33: SECURITY , ROBOTICS AND COMPUTER GRAPHICS</b>			
33.1	1570698591	Red-Blue Team Exercises for Cybersecurity Training During a Pandemic	1055

33.2	1570698801	Design and Evaluation of Cascading Cuckoo Filters for Zero-False-Positive Membership Services	1061
33.3	1570698830	Towards a Secured Blockchain-Based Smart Grid	1066
33.4	1570698883	Modeling the Tidal Locking Mechanism of the Moon in Function of the Inverse-Square Law and Hooke's Law	1070
33.5	1570696293	Survey of the Development of Quantum Cryptography and Its Applications	1074
33.6	1570698843	Unmanned Aerial Vehicle (UAV) Kill Chain: Purple Teaming Tactics	1081

#### SESSION 34: EMBEDDED SYSTEMS, GREEN COMPUTING AND LOGIC DESIGN

34.1	1570698323	A Fast Stacker Based on Symmetric Stacking, Multiplexing, and Barrel-Shifting	1088
34.2	1570697061	Fastest Finger First - Educational Quiz Buzzer' Using Arduino and Seven Segment Display for Easier Detection of Participants	1093
34.3	1570696747	Multi-Objective Grid Scheduling for Battery Exchange Stations in Battery Consolidation Systems	1099
34.4	1570697851	Energy Efficient Smart Antenna Beamforming Algorithms for Next-Generation Networks	1106
34.5	1570698785	Precision Power Measurements of FPGA-MPSoCs	1114
34.6	1570698441	Precoding for Multi-User MIMO Interference Channel: A Signal Set Separation Problem	1121

#### SESSION 35: MOBILE AND WIRELESS COMPUTING

35.1	1570698869	Peer-To-Peer Assisted Off-Grid Message Dissemination in the Failure of Communication Infrastructures	1127
35.2	1570696174	Task Processing Energy Minimization Based on Hybrid Mode in D2D-Enabled Fog Network	1134
35.3	1570696463	Comparison and Analysis Performance in Topology-Based Routing Protocols in Vehicular Ad-Hoc Network (VANET)	1139
35.4	1570697063	Design and Feasibility Analysis of an Artificial Intelligence Based Mobile App for Emergency Ambulance	1147
35.5	1570695851	A Single-Band 28.5GHz Rectangular Microstrip Patch Antenna for 5G Communications Technology	1151

SESSION 36: WIRELESS COMMUNICATIONS			
36.1	1570698710	Optimal Placement of Single Cluster Head in Wireless Sensor Networks via Clustering	1157
36.2	1570698879	Logarithmic Non-Uniform Quantization for List Decoding of Polar Codes	1161
36.3	1570698754	A Wearable Mobile-App Controlled Device for Continuous Monitoring of UV Exposure and Hydration Levels	1167
36.4	1570698832	Improved and Efficient Dual-Hop Relaying System	1173
36.5	1570698857	Shifted Pruning for Path Recovery in List Decoding of Polar Codes	1179
SESSION 37: MOBILE AND WIRELESS COMPUTING AND BIOINFORMATICS			
37.1	1570696752	Microstrip Patch Antenna for the Applications of WLAN Systems Using S-Band	1185
37.2	1570697523	Survey on Context-Aware Healthcare Systems	1190
37.3	1570696923	Personalized Safety Instruction Recommendation for Construction Workers Based on Apriori Algorithm	1197
37.4	1570698477	Improving System Performance in Indoor Environment by Designing Femtocell Considering Interference and Mobility Management	1204
37.5	1570698877	COVID-19 Case Number Prediction Utilizing Dynamic Clustering With Polynomial Regression	1210
SESSION 38: ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING			
38.1	1570698813	Natural Language Controlled Real-Time Object Recognition Framework for Household Robot	1215
38.2	1570698861	Classification Models of Text: A Comparative Study	1221
38.3	1570698840	Artificial Intelligence Bias Minimization via Random Sampling Technique of Adversary Data	1226
38.4	1570698860	Highway Accident Severity Prediction for Optimal Resource Allocation of Emergency Vehicles and Personnel	1231
38.5	1570698865	Decoding Linguistic Ambiguity in Times of Emergency Based on Twitter Disaster Datasets	1239

**SESSION 39: PATTERN RECOGNITION AND SIGNAL PROCESSING**

39.1	1570698147	Identifying User Authentication and Most Frequently Used Region Based on Mouse Movement Data: A Machine Learning Approach	1245
39.2	1570698719	Statistical Post-Processing Approaches for Active Infrared Thermography: A Comparative Study	1251
39.3	1570696767	Image Enhancement by Recursive Correction of Its Average Brightness	1256
39.4	1570694343	Channel Equalization for Converged OFDM-Based 5G Mm-Wave A-RoF System at 60 GHz	1263
39.5	1570698873	Joint Activity Localization and Recognition With Ultra Wideband Based on Machine Learning and Compressed Sensing	1268

**SESSION 40: CONTROL SYSTEMS AND APPLICATIONS**

40.1	1570696664	Computation of Stabilizing Decentralized PI Controllers for Fractional Order TITO (FOTITO) Systems	1274
40.2	1570698750	An Applied Survey With ESP8266 Lolin + Shield Base With Nextion Touchscreen Display	1281
40.3	1570694317	Energy Consumption Analyses for Unmanned Aerial Systems Used in Disaster Management	1287
40.4	1570698573	Adaptive Model Predictive Control for a TORA System Under Initial Axial and Angular Position Dispersions	1294
40.5	1570698630	PLC Based a Robust Solution for an Urban Area Water System Dilemma	1300

**SESSION 41: PARALLEL ALGORITHM AND SOFTWARE ENGINEERING**

41.1	1570698307	A Survey of Teaching PDC Content in Undergraduate Curriculum	1306
41.2	1570698595	Partitioning Mesh for Workload Balance According to the Capability of Each Computing Node	1313
41.3	1570698888	Towards Embedding Data Provenance in Files	1319
41.4	1570695866	Test Suite Prioritization With Element and Event Sequences for Android Applications	1326
41.5	1570696035	An Optimum Strategy to Control Peltier Device Cold Side Temperature	1333

**SESSION 42: WIRELESS COMMUNICATION**

42.1	1570698321	Short Interval Solar Power Prediction for Energy Harvesting With Low Computation Cost on Edge Computation Network	1339
42.2	1570697057	Performance Analysis of Double-Threshold Based Multi-Relay Selection With Differential Modulation for Cooperative D2D Network	1343
42.3	1570695505	A Sensor-Based Garbage Gas Detection System	1347
42.4	1570693711	Mobility Management in HetNets Consider on QOS and Improve Throughput	1354
42.5	1570695277	Modified Gram Schmidt Based Chaotic Matrix for Compressive Sensing in Cognitive Radio	1360
<b>SESSION 43: SOFTWARE ENGINEERING AND COMMUNICATIONS SOFTWARE</b>			
43.1	1570697558	3D Printed Capacitive Relative Pressure Sensor for use in a CAE environment	1366
43.2	1570698770	Data Structures for Ordered Short Character-Sequences	1370
43.3	1570698426	Evaluation of Machine Learning Architectures in Healthcare	1377
43.4	1570698390	Monitoring and Data Processing Architecture Using the FIWARE Platform for a Renewable Energy Systems	1383
43.5	1570698639	A Divide and Conquer Method With Semi-Global Failover for Software Defined Networks	1388
43.6	1570697420	Enhancing Object Detection Using Synthetic Examples	1398
<b>SESSION 44: CONTROL SYSTEMS AND APPLICATIONS</b>			
44.1	1570698787	An Open Source SCADA for a Solar Water Pumping System Designed for Pakistani Conditions	1403
44.2	1570697948	Study of Helix Functions and Multi-Source Rauch Filters	1409
44.3	1570696591	Key Factors Influencing the Rise of Current Ransomware Attacks on Industrial Control Systems	1417
44.4	1570696834	Multi-UAV Full-Duplex Communication Systems for Joint Video Transmission and Flight Control	1423
44.5	1570696733	Joint Application of Sliding Window and Full-Vector RLNC for Vehicular Platooning	1429
44.6	1570693334	Designing the Clamp System With the Emergency Braking System in the Trains by Using PLC and SCADA	1436

<b>SESSION 45: MOBILE AND WIRELESS COMPUTING</b>			
45.1	1570698479	A Novel Approach to Design the Cell of LTE Cellular Network to Improve the Call Quality and Coverage Area in Rural and Suburban Area	1442
45.2	1570698670	Automatic Traffic Density Control System With Wireless Speed Limit Notification	1448
45.3	1570698722	Delay and Energy Balance for Unmanned Aerial Vehicle Networks	1453
45.4	1570698777	Experimental Study on 3D Fractal Base Antennas Design for Efficient Wi-Fi Energy Harvesting	1459
45.5	1570696643	Program Equivalence Checking for the Facilitation of Quantum Offloading	1464
45.6	1570695947	Planning for NB-IoT Smart City Network Deployment in Bandung Areas	1471
<b>SESSION 46: ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING</b>			
46.1	1570698786	Analysis and Classification of Basketball Shooting Form Using Wearable Sensor Systems	1478
46.2	1570698794	Face Liveness Detection Using a Sequential CNN technique	1483
46.3	1570698798	Artificial Neural Networks for (Automotive) Embedded Control - A Comparative Study	1489
46.4	1570698800	Detection of High Germ Spreading Activities	1495
46.5	1570698805	Diabetes Prognosis Using White-Box Machine Learning Framework for Interpretability of Results	1501
46.6	1570693152	TCP Congestion Control With Multiagent Reinforcement and Transfer Learning	1507
<b>SESSION 47: ARTIFICIAL INTELLIGENCE AND MACHINE LEARNING, CONTROL THEORY</b>			
47.1	1570692769	Detection and Classification of Power Quality Disturbances Using Variational Mode Decomposition and Convolutional Neural Networks	1514
47.2	1570697608	Vulnerability Detection in C/C++ Source Code With Graph Representation Learning	1519
47.3	1570692530	Exploring Trade-Offs in Parallel Beam-ACO	1525
47.4	1570698070	A New Approach for Detection Alzheimer's Disease With Machine Learning Using Whole Genomic and Single Nucleotide Polymorphism-Chip Data	1535

47.5	1570692543	Efficient Evolution of Variational Autoencoders	1541
47.6	1570698669	Kalman Filter and Its Application on Tuning PI Controller Parameters	1551
<b>SESSION 48: QUANTUM COMPUTING, HCI AND OPTICAL NETWORKS</b>			
48.1	1570696438	Implementation of Reversible Logic Gates With Quantum Gates	1557
48.2	1570695841	Near Term Implementation of Shor's Algorithm Using Qiskit	1564
48.3	1570693913	A Reliable Energy and Spectral Efficient Spectrum Sensing Approach for Cognitive Radio Based IoT Networks	1569
48.4	1570696451	An Experimental Study of TSN-NonTSN Coexistence	1577
48.5	1570696110	A Scalable Multicast Hybrid Broadband Crossbar Wavelength Selective Switch for Datacenters	1585
48.6	1570698536	Noise Evolution and Analysis of Long Repeated/Regenerated IMDD Links	1588



## Our Reviewers

IEEE CCWC 2021 followed a rigorous double-blind review process in order to identify suitable papers for both presentation and publication. This process helped the organizers to shortlist good quality papers from diverse regional areas and across various domains. This edited book also incorporates four invited papers from experts across the globe. The congress received more than three hundred full papers for review and approximately twenty five percent were selected for full paper submission. In the end, eighty papers, including invited papers were found acceptable for presentation and congress proceedings.

Such a detailed review process was possible due to the excellent and enthusiastic support extended by the strong technical review team of IEEE IEMCON 2019. For every stage of submission, IEMCON had a specific template review procedure to analyze the submissions and provide suitable comments for the authors to incorporate. The review team which formed the technical backbone for the selection of submissions for the edited book and the conference presentation is:

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